



UNIVERSITY OF
NOTRE DAME

THE FBA MANUAL

University Policies, Practices, and Guidelines for
THE FACULTY BOARD ON ATHLETICS

2019-2020

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INTRODUCTION

The policies set out in THE FBA MANUAL have evolved over a considerable period of time. None of them is immutable; indeed, changes remain inevitable. Moreover, no document this brief could cover every situation that the intersection of the academic and the athletic might occasion at a major university. Nonetheless, these provisions control the anticipated case—and, presumptively, the unanticipated.

The Faculty Board on Athletics traces its history to 1898, when a “board for the control and regulation of all school athletics” first emerged. Significant reorganizations of the board occurred periodically, including in 1924 and in 2000. Whatever its composition or title, and whatever the specific description of its duties, however, the goal remained the same: maintaining that delicate but critical balance between the academic and the athletic at an institution that aspires to excellence in both.

Of course, the University would be a stunningly different place if one or the other of these aspirations were to reign supreme. Nurturing both simultaneously presents the truly daunting challenge. For the current, robust health of the University’s effort on this front, we owe a significant debt to both the late Edmund P. Joyce, C.S.C., and E. William Beauchamp, C.S.C. For almost a half-century, their remarkable leadership, ability, integrity and commitment transformed complexity and challenge into exceptional progress. Far into the future, the forces they set in motion will continue to exert a strong, beneficent influence.

The board’s fifteen members—a mix of the *ex officio*, the appointed and the elected—bring to their mandate a wide variety of experience and expertise. Although members often disagree on means, they all vigorously pursue excellence at Notre Dame. Much of the board’s work takes place through its two subcommittees dealing, respectively, with student welfare and academic integrity.

The board meets formally about once a month. Additionally, the chair calls special meetings from time to time to treat emergency matters, to introduce the board to new head coaches, to address especially time-consuming issues, or to ease an overflow of pending matters.

Of course, the context and the hurdles faced by the board change. But the observation made in the 1929 edition of THE DOME concerning that year’s board could perhaps have been made at any time since the board’s founding: “[A]dded responsibilities and new problems have thrown their weight upon the Board, rendering their duties increasingly onerous and precarious.” Now well into its second century of service, the Faculty Board on Athletics pursues its commitment to protect and promote the academic excellence of the University, the integrity of its athletics programs, and the welfare of its student-athletes.

Patricia L. Bellia
Chair, Faculty Board on Athletics

THE FACULTY BOARD ON ATHLETICS— COMPOSITION AND RESPONSIBILITIES

(From Article V, section 3(i) of the *Academic Articles*) (effective July 1, 2019)

The Faculty Board on Athletics serves as the principal advisory group to the President on educational issues related to intercollegiate athletics. In that capacity, the board fosters the University's commitment to academic integrity within the athletic program, strives to ensure that the University's athletic program operates in consonance with its educational mission, and actively promotes the welfare and educational success of the University's student-athletes. The board also functions as a formal liaison between the faculty and the Department of Athletics.

In carrying out its charge, the board periodically reviews policies, procedures, and practices that affect the educational experience of student-athletes and advises the President of its findings. The board provides appropriate mechanisms for considering concerns of student-athletes. Periodically, the board reviews data on admission of student-athletes, academic performance, progress toward degree, and graduation rates, and assesses the effectiveness of institutional support for student-athletes. In addition, the board sets and modifies, as necessary, guidelines for all student petitions for a fifth year of athletic eligibility and decides whether to approve each petition. The board reviews and amends, as necessary, guidelines for all intercollegiate athletic schedules and qualifications for the captancies of all University teams and decides whether to approve any schedule or captain candidate not in compliance with those guidelines. The board also assesses and revises, as necessary, procedures for resolving conflicts between final examinations and post-season championship tournaments. In its role as liaison to the broader faculty, the board disseminates appropriate, non-confidential information and initiates discussions on educational issues related to intercollegiate athletics of concern to the faculty and administration. The board may assume other duties as assigned by the President. The Chair of the board provides an annual report of its activities to the Academic Council through its Executive Committee.

The Faculty Board on Athletics consists of 15 members—seven elected, four appointed, and four ex officio members. Seven members of the board are elected as follows: one elected by and from the tenured and tenure-track faculty of each College, one elected by and from the tenured and tenure-track faculty of the Law School and the School of Global Affairs, and one elected at large from the tenured and tenure-track faculty. Four members are appointed by the President—three are appointed from the tenured and tenure-track faculty and one appointed annually from the student body. The Vice President for Student Affairs, the Director of Athletics, the Director of Academic Services for Student-Athletes, and a representative of the Office of the President serve as ex officio members.

Only appointed and elected members of the tenured and tenure-track faculty, the Vice President for Student Affairs, and the student representative vote on matters before the board. When necessary, the Faculty Board on Athletics is called into Executive session by its Chair or the President. During Executive session it may be appropriate for the Director of Athletics, the Director of Academic Services for Student-Athletes, or both, to be excused from the proceedings.

Elected and appointed faculty members serve staggered three-year terms and no faculty member other than the Chair may serve more than two consecutive terms. A faculty member who leaves the board after having served two consecutive terms may not begin to serve another term on the board until five years have elapsed from the date on which the second consecutive term has ended. Likewise, a faculty member who serves two non-consecutive terms within any eight year period may not begin to serve another term on the board until five years have elapsed from the date on which the second non-consecutive term has ended.

The President appoints the chair of the Faculty Board from among the tenured and tenure-track faculty elected or appointed to the board. The President appoints the Chair to a three-year term and may, after consultation with the board, renew the Chair for additional terms of up to three years each. Previous service, continuous or not, as a member of the Faculty Board does not preclude an individual's appointment as Chair.

The Chair, who also functions as the University's faculty athletics representative to the National Collegiate Athletic Association, calls meetings of the board on a regular basis and develops the board's agenda. Members of the faculty and the members of the board may propose items for the board's agenda. The Chair meets periodically with the President to discuss issues of interest or concern to the board.

STATEMENT OF PRINCIPLES FOR INTERCOLLEGIATE ATHLETICS

University of Notre Dame

Throughout its long and proud history, the University of Notre Dame has embraced the philosophy that a well-rounded intercollegiate athletics program forms an integral part of Notre Dame's educational mission. As a Catholic university, Notre Dame espouses Christian values and principles. These include the development of the human person in mind, body, and spirit; the nurturing of Christian character; the responsibility to welcome to the community the presence and voices of diverse members; and the call to integrity. A successful intercollegiate athletics program at Notre Dame serves these values and principles. Notre Dame therefore dedicates itself to the pursuit of excellence in intercollegiate athletics, but always in conformity with its tradition, heritage, and mission as a Catholic university.

This document articulates the core principles that guide Notre Dame's participation in intercollegiate athletics, and the structures and policies that support and implement those principles.

Core Principles

1. *Presidential Control.* Notre Dame adheres to the principle of presidential control over intercollegiate athletics. The director of athletics reports to the president, who exercises ultimate responsibility for the conduct of Notre Dame's intercollegiate athletics program. The Faculty Board on Athletics, chaired by the University's faculty athletics representative, serves as the principal advisory group to the president on educational issues related to intercollegiate athletics.
2. *Integrity.* Notre Dame commits itself to the integrity of its athletics program and requires those involved in its program to adhere to all University, conference, and NCAA rules and expectations.
3. *Equitable Program.* Notre Dame will offer an athletics program that provides equitable opportunities for men and women and that reflects the University's larger commitment to diversity and inclusion.
4. *Academic Excellence and Academic Support.* Notre Dame dedicates itself to providing all of its students an outstanding academic experience. The University will admit only those students who demonstrate, among other things, the character to contribute to the University community, a commitment to achieving their academic potential, and the capacity to graduate from Notre Dame. The University, including all who oversee, administer, coach within, and otherwise support its intercollegiate athletics program, will honor the primacy of academic life at Notre Dame. The University will provide appropriate assistance to enable students participating in intercollegiate athletics to balance the demands of academic and athletic endeavors.
5. *Student Safety and Well-Being.* An important obligation of every university is to ensure the well-being of all of its students. The University will provide safe facilities for the training and competition of its intercollegiate athletics teams. The physical and mental stresses inherent in athletic competition

demand that Notre Dame offer excellent medical and psychological care for students participating in intercollegiate athletes and provide appropriate opportunities for such students to enhance their performance and overall health. The University will ensure that designated medical personnel make, in consultation with the student and coaching staff, all decisions concerning whether an injury or illness limits a student in practice or competition.

6. *Athletics as an Integrated Participant in and Contributor to the University's Educational Mission.* Notre Dame recognizes both the contributions that a successful intercollegiate athletics program makes to the University's overall educational mission and the inherent challenges that attend such a program. The best interests of the University and of students participating in intercollegiate athletics require full engagement and collaboration between the Department of Athletics and its institutional partners across the University.

7. *Coaches and Administrators as Educators.* As educators, coaches share with members of the faculty and other University personnel the obligation to educate, train, and otherwise assist in the formation of the students entrusted to them, including by facilitating to the fullest possible extent students' pursuit of their academic interests. Athletics administrators support, assist, and ensure accountability for coaches with regard to this obligation.

8. *Holistic Development of Student-Athletes.* A Notre Dame education encompasses not only intellectual development through formal classes, study, research, and creative work, but also intellectual, moral, spiritual, and social growth in a student's life outside the classroom. Just as the University strives to integrate academic and student life to provide students with the opportunity for holistic development, the University also strives to ensure that students participating in intercollegiate athletics remain integrated into the student body and have the opportunity to take full advantage of the enrichment that campus and hall life afford all students.

9. *Parity in Rules and Policies.* In formulating its rules and policies, the University will begin from the premise that students participating in intercollegiate athletics should be treated the same as all other students.

10. *Competitive Excellence.* Notre Dame endeavors to maintain a highly competitive athletics program within the context of available resources. Notre Dame will seek to provide each student participating in intercollegiate athletics with the opportunity to fully develop his or her athletic potential.

11. *National Leadership and Congruent Affiliations.* Notre Dame will maintain a national voice in the governance of intercollegiate athletics, through the involvement of its president, director of athletics, faculty athletics representative, athletics administrators, coaches, and students as leaders in national and conference fora. In considering conference affiliations and other alignments, the University will assess, among other things, the extent to which the other institutions involved share Notre Dame's core values.

12. *Student Voice.* The involvement of students participating in intercollegiate athletics in discussions of NCAA, conference, and institutional policies will enrich and enliven those discussions and provide valuable educational opportunities for the students involved. Notre Dame will cultivate opportunities for such participation. Notre Dame will also seek the feedback of students participating in intercollegiate athletics on their experiences at Notre Dame.

Structures and Policies

Notre Dame strives to maintain a highly competitive athletics program consistent with its tradition, heritage, and overall mission as a Catholic university. Furthermore, it plays a leadership role in intercollegiate athletics. The challenges inherent in these endeavors demand that University personnel pursue an integrated, cooperative approach to supporting and developing students, and that University leaders regularly evaluate the structures and policies that support and implement the University's core principles on intercollegiate athletics. The following are among the current structures and policies that guide Notre Dame's approach:

Faculty Board on Athletics. The University's Academic Articles designate the Faculty Board on Athletics as the principal advisory group to the president on educational issues related to intercollegiate athletics. The chair of the board also serves as the NCAA-mandated faculty athletics representative.

The board nurtures Notre Dame's commitment to academic integrity within the athletics program, strives to ensure that the University's athletics program operates in consonance with Notre Dame's educational mission, and actively promotes the welfare and educational success of students participating in intercollegiate athletics. The board also functions as a formal liaison between the faculty and the athletics department.

In carrying out its charge, the board reviews policies, procedures and practices that affect the educational experience of students participating in intercollegiate athletics and advises the president of its findings and deliberations. The board systematically ascertains the views and concerns of students participating in intercollegiate athletics and reviews data on admissions, academic performance, progress towards degree, and graduation rates. It assesses the effectiveness of institutional support for students participating in intercollegiate athletics.

With special attention to the rhythm of the academic year, the board establishes guidelines for approval of all intercollegiate athletics schedules, votes on all proposed schedules, and assesses and revises procedures for resolving prospective conflicts between final examinations and post-season championship events. Recognizing the visibility of captains of University teams, the board sets qualifications for captaincies and votes on nominees. In light of the University's expectation that students maintain the appropriate sequence and number of courses and the grade-point-average necessary to complete a degree within the usual time (normally four years), the board sets guidelines for the approval of student petitions for a fifth year of athletics eligibility and votes on such petitions.

In its role as a liaison to the broader faculty, the board provides appropriate, non-confidential information and initiates discussions on educational issues regarding intercollegiate athletics and the related concerns of the faculty and administration.

The policies, procedures, and minutes of the Faculty Board on Athletics are available to the University community at <https://fba.nd.edu/>.

Faculty Athletics Representative. The faculty athletics representative, who also chairs the Faculty Board on Athletics, champions academic integrity, promotes the welfare of students participating in intercollegiate athletics, and helps ensure institutional control of intercollegiate athletics. More specifically, the representative works with the president and the director of athletics to maintain appropriate University oversight of intercollegiate athletics; assists the president and director of athletics in determining institutional positions on proposed NCAA and conference legislation; participates in national and conference governance bodies; engages in institutional policy discussions on matters affecting students participating in intercollegiate athletics; serves on search committees for senior athletics administrators and head coaches; oversees decisions regarding student eligibility for intercollegiate competition; remains visible and available to students; and actively participates in all investigations and reports of possible NCAA violations. The faculty athletics representative works with other University academic leaders, including the Provost and deans, to address matters of mutual concern regarding the academic opportunities, challenges, and performance of students participating in intercollegiate athletics.

Office of Academic Services for Student-Athletes. The University affords students participating in intercollegiate athletics suitable academic counsel and support, primarily under the auspices of the Office of Academic Services for Student-Athletes (ASSA). ASSA is an academic unit that reports to the Provost. The office provides an array of services—general academic support, tutoring, monitoring of academic performance, team orientation, time management assistance, information about post-graduate and scholarship opportunities, and academic recognition—in an effort to enhance the quality of the student experience and to promote individual ownership of academic, athletic, personal, and social responsibilities.

Information on ASSA is available at <https://assa.nd.edu/>.

Student Development and Support. Students participating in intercollegiate athletics should have the opportunity to pursue fully the University's academic, cultural, and spiritual resources. Sources of support, both academic and personal, include ASSA counselors, faculty, college academic advisors, the Division of Student Affairs, residence hall staffs, coaches, athletics administrators, and the Department of Athletics' student welfare and development program. The University's commitment to ensuring that all members of the Notre Dame community feel included in that community requires particular attention to identifying and addressing the unique needs of and risks that students participating in intercollegiate athletics face. The Division of Student Affairs, the First Year of Studies, ASSA, the Faculty Board on Athletics, and the Department of Athletics' student welfare and development program share the responsibility to guide students participating in intercollegiate athletics in their transition to Notre Dame.

Notre Dame will not provide separate residence halls or sections of residence halls for students participating in intercollegiate athletics. Such students must comply with all University rules and guidelines, including those set out in the Standards of Conduct and the Student-Athlete Handbook. In disciplinary matters involving the Office of Community Standards, students participating in intercollegiate athletics are treated in the same way as all other students.

The University seeks to offer students participating in intercollegiate athletics, like other students, opportunities to cultivate their faith and to receive spiritual and pastoral support and care. The Office of Campus Ministry facilitates such opportunities and support, including by providing chaplains or other resources for athletics teams. Chaplains' duties include pastoral care and liturgical

services for student-athletes, coaches, and staff. In light of the unique time demands that students participating in intercollegiate athletics face, the University offers resources tailored to such students' needs and schedules, including under the auspices of University Health Services, the University Counseling Center, and the Center for Career Development.

Independence of Medical and Psychological Care. University physicians, psychologists, and counselors who work within University Health Services and the University Counseling Center oversee the medical and psychological care of students participating in intercollegiate athletics. The Division of Student Affairs hires and evaluates such personnel, whose judgment and decisions are independent of the Department of Athletics.

Drug Testing Oversight Committee. In light of the threat that the use of illicit drugs presents to the physical and mental well-being of students and the integrity of the University and intercollegiate athletics, the University both supports the NCAA drug testing program and supplements that program with its own program to deter, detect, and assist in treatment of substance abuse among students participating in intercollegiate athletics. The Drug Testing Oversight Committee, which reports to the President, develops and oversees the implementation of the University's drug testing protocol; evaluates information on University and Department of Athletics initiatives that educate students on substance abuse and treatment thereof, and on the requirements and procedures of the NCAA and University drug testing programs; provides an annual report to the Faculty Board on Athletics on the implementation of the program; and provides a recommendation to the President on potential changes to the program.

The University's *Drug Testing Program for Student-Athletes* is available at https://www3.nd.edu/~ncaacomp/documents/DrugTestPolicy_summer2016FINAL.pdf.

Administration of the Department of Athletics. The University maintains full and direct control of the financial operations of the Department of Athletics. The operating budget and the ongoing financial activities of the Department of Athletics remain subject to the same approval process as all other units of the University. Notre Dame's athletics program strives to generate funds sufficient to cover its expenses, as well as to provide funds for the University's general operating budget. The generation of revenue must always take into consideration Notre Dame's core values.

Institutional Collaboration Concerning Risks and Expectations. In service of the best interests of the University and of students participating in intercollegiate athletics, the Department of Athletics works collaboratively with many campus partners, including the Division of Student Affairs, the Office of General Counsel, the Office of Human Resources, and the Office of Institutional Equity. Such collaboration includes identifying and mitigating risks inherent in sponsoring a successful intercollegiate athletics programs and training coaches, administrators, students, and others concerning the University's high expectations. The Department of Athletics' Compliance Office provides comprehensive orientation and appropriate continuing education, including to all coaches, administrators, and students participating in intercollegiate athletics, among others, regarding University, the NCAA, and conference policies and procedures. Information on the Compliance Office is available at <https://www3.nd.edu/~ncaacomp/>.

Student Representation and Input. The Department of Athletics will provide fora through which students can provide feedback and input on their experiences as participants in intercollegiate athletics. Existing fora include the Student-Athlete Advisory Council, the Athletics Steering

Committee, the Rosenthal Leadership Academy, annual surveys, and senior exit interviews. Notre Dame will also cultivate opportunities for students participating in intercollegiate athletics to serve in national and conference governance structures.

Date: September 5, 2018

Rev. John I. Jenkins, C.S.C., President

Jack B. Swarbrick, Vice President, Director of Athletics

Patricia L. Bellia, Chair, Faculty Board on Athletics

POLICIES, PRACTICES, AND GUIDELINES

I. SCHEDULING AND RELATED ISSUES

University Guidelines for Scheduling Practice, Competition, and Travel

Each head coach prepares the team's schedule for competition in conjunction with the departmental administrator responsible for that program and with the department's facilities manager. No such schedule becomes final until both the director of athletics and the Faculty Board on Athletics have approved it. The board has authorized its chair to approve on its behalf any schedule falling clearly within board guidelines. The chair shall report the disposition of any scheduling request, including how any approved schedule complies with the requirements and limitations below, to the board for inclusion in the board's official minutes.

The playing schedules for all varsity sports must be constructed so that student-athletes do not miss more than three classes in the Monday-Wednesday-Friday sequence or three classes in the Tuesday-Thursday sequence in a single semester. This prescription applies to regular-season play, not to post-season conference or NCAA tournaments. [Note: The Department of Athletics, through its director, has agreed that each head coach will provide to the chair of the board advance notice of all practice—home or away, competition and travel to take place in connection with post-season play.

(See board minutes for the meeting of April 10, 2003.)] Given special circumstances consistent with the board's desire to enable coaches to build competitive schedules, the board gives its chair the discretion to approve up to two additional class misses for a given semester before having to bring a specific request before the board. The board has given its chair the discretion to authorize additional class-miss excuses when reasonable due to weather, transportation, or other complications unanticipated when the team's travel schedule was originally approved. (See board minutes for the meeting of March 4, 2004.)

On a case-by-case basis, the vice president for student affairs, the director of academic services for student athletes, and the chair of the board may approve additional absences for an individual player who qualifies for invitational events or continued play at a competition.

At least four days in advance of travel for each event, the team manager notifies the Office of Academic Services for Student Athletes of the student-athletes on the travel squad. A list of traveling student-athletes, the classes they will miss, and the faculty members who teach those classes is then sent to the Office of Student Affairs for approval and distribution to those faculty members.

The *Statement of Principles for Intercollegiate Athletics* specifies that, in the design of playing schedules, "the rhythm of the academic year and the particular importance of final examinations warrant special attention." Moreover, Notre Dame's status as a Roman Catholic institution mandates restrictions with regard to competition on days carrying special religious significance. To those ends, the following limitations apply:

First-Year Welcome Weekend

The University recognizes the importance of the first-year Welcome Weekend, which begins at 3:30 p.m. on Friday and ends at 3:00 p.m. on Monday. During this period, the University will not schedule any competition on campus or any away competition in football. First-year student-athletes may not participate in any away competition or travel related to competition during this weekend. First-year student-athletes may participate in practice on campus after 3:00 p.m. on Sunday.

In considering a request for a waiver of the above scheduling restrictions, the board will weigh such factors as: the ability of the coaching staff to build a competitive schedule without scheduling a contest during Welcome Weekend; the impact of the scheduling decision on student-athlete welfare (including the academic impact of scheduling the contest at a later date); the extent to which the coaching staff has exhausted all efforts to schedule the contest outside of Welcome Weekend; and the extent to which the competition can be integrated into the Welcome Weekend schedule. The chair of the board is authorized to approve scheduling requests that clearly fall within existing precedents and shall report the disposition of all such requests to the board.

Last Week of Classes Each Semester

Competition necessary due to conference schedules or NCAA championships is permitted. Limited non-conference competition is also permitted; every effort must be made, however, to play at home, and overnight travel is discouraged. Proposals for non-conference competition must be discussed, prior to scheduling, with the departmental administrator responsible for that sport. [The "last week of class" means the Monday, Tuesday and

Wednesday immediately preceding the study-day period of each semester. (*See* board minutes for the meeting of September 2, 2004.)]

Study Days

Given the proximity of the study days to final examinations, competition on a study day should be avoided to the extent possible. (A “study day” is a University reading day that falls between the last day of class and the start of final examinations.) While every effort should be made to avoid competition on a study day, limited competition may be permitted under the following circumstances:

(1) *Scheduling of Conference Competition or NCAA Championships on Study Days.*

Competition necessary due to conference schedules or NCAA championships is permitted on a study day if scheduling such competition on a study day is unavoidable. (Such competition is subject to the board’s normal process for approving a schedule.)

(2) *Proposals for Non-Conference Competition on Study Days.*

Non-conference competition generally should not be scheduled on a study day. The coaching staff and departmental administrator shall present any proposal for non-conference competition to the chair of the board prior to scheduling. A proposal for non-conference competition shall demonstrate that (i) every effort has been made to arrive at a competitive schedule outside of the study days; (ii) the coaching staff has made all adjustments possible to minimize the time commitment for student-athletes and maximize the time available for academic work during the study days; and (iii) every effort has been made to play at home, to avoid overnight travel, and to minimize any travel time, including by using a charter flight for air travel whenever possible. At his or her discretion, the chair may decide on the request for non-conference competition or bring the request to the full board. The chair shall report to the full board on all such requests and their disposition.

Protections for Student-Athletes for Any Study-Day Competition. For any competition that is scheduled for a study day, the following protections for student-athletes shall apply:

- Student-athletes will not be required to take part in any ancillary activities in conjunction with such a competition (e.g., autograph session, reception, certain media requests, community visits), unless mandated by the NCAA or conference in conjunction with championship participation.
- When competition times are not dictated by TV, every attempt will be made to schedule the game early in the day, in an effort to maximize available time for student-athletes to focus on academic work.
- If travel is necessary for competition on a study day, every effort will be made to minimize travel time, including by using a charter flight for air travel whenever possible, and to provide the following structured study opportunities/amenities:

- Teams will travel with an assigned academic counselor whenever possible as dictated by the Director of Academic Services for Student-Athletes.
 - Internet access, individual charging outlets, and other helpful study amenities will be made available to all student-athletes for academic purposes.
 - Mandatory study hours will be observed for student-athletes as directed by the academic counselor and supported by the coaching staff.
 - A room (separate from individual player rooms) will be made available for quiet study hours.
 - Upon request, the academic counselor will make appropriate technology available to capture review sessions that are missed due to the competition.
- Coaching staff will make every effort to provide student-athletes with a full day off countable athletically related activities* on the day immediately following a study day competition, regardless of whether the competition is at home or away.

When submitting for approval a schedule that includes a study-day competition, the coaching staff and departmental administrator shall commit to adhering to these protections. In addition, in advance of the competition (typically, one week in advance whenever possible), the departmental administrator shall advise the chair as to how these protections will be met.

Following any study day competition, the departmental administrator and assigned academic counselor shall discuss with the chair any problems with implementing these protections as well as any adverse impact of the competition on the student-athletes and their performance on final examinations. The chair shall report to the board concerning how the protections for student-athletes were implemented and any difficulties that arose.

Exam Days

All regular-season competition is prohibited. Should conference tournaments or NCAA championships be scheduled for this period, the coach must request permission to participate. Requests will be forwarded to the Faculty Board for approval to implement the *Procedure for the Resolution of Conflicts Between Final Examination and Post-Season Championship Tournaments*.

Christmas Day

All competition is prohibited. Practice is not recommended.

Triduum

In the Catholic tradition, the Triduum—the period from Holy Thursday evening until Easter Sunday evening—stands as one continuous celebration that marks the “high holy days” of the Church’s year. In recognition of the Triduum’s importance, the University discourages competition, practice, and travel related to competition during this period.

* A countable athletically related activity is any required activity with an athletic purpose involving student-athletes and at the direction of, or supervised by, one or more of an institution’s coaching staff, including strength and conditioning coaches.

Subject to the following guidelines, teams in winter and spring sports may compete, practice or travel during the Triduum, provided that the team's schedule allows the opportunity for all student-athletes, coaches, and administrators, and other staff to attend services or otherwise to participate in the prayer of the Church during this period. Traveling teams can satisfy this requirement by inviting a chaplain to accompany the team, attending liturgies sponsored by local alumni clubs, or making other arrangements for all interested student-athletes, coaches, administrators, and other staff members to attend services or otherwise worship at a church. [Note: Each year, on or about Ash Wednesday, the chair of the board requests information from all head coaches who have scheduled competition during the coming Triduum information on how they plan to comply with this provision. *See* board minutes for the meeting of May 9, 2002.]

In addition, the following restrictions apply:

Good Friday: No competition is permitted at home. Practice at home may occur before noon or after 6:00 p.m.

Easter Sunday: No competition is permitted at home. Competition at away sites may begin after noon. Practice at home may occur after 1:00 p.m.

Procedure for the Resolution of Conflicts Between Final Examinations and Post-Season Championship Tournaments

(adopted 1996; amended March 2014)

A situation in which a conflict or potential conflict exists between a student-athlete's final examination as stipulated in the official examination schedule, and a championship athletic tournament that has been sanctioned by the Faculty Board on Athletics, is to be subject to the following procedure:

- (1) The Faculty Board on Athletics will send a letter at least one week before the first day of final examination week to the deans (or deans' designees) of the School of Architecture, the College of Arts and Letters, the Mendoza College of Business, the College of Engineering, First Year of Studies, and the College of Science. This letter is to list all the athletic teams for which there is a conflict or a potential conflict. The determination whether a conflict or potential conflict exists shall be within the discretion of the chair of the Faculty Board on Athletics, based on the travel schedule approved under Faculty Board on Athletics policies for post-season practice, travel, and competition. The determination that a conflict or potential conflict exists will take into account the need for reasonable preparation for and recovery from the competition in question. The letter is to contain the following information for all such teams: A complete listing of all the traveling student-athletes on the team, including their college, major, and level, the specific dates of the championship tournament, and the dates of the conflict or potential conflict.
NOTE: A student-athlete on a team who does not travel to the tournament in question will take his or her final examination as scheduled. Likewise, if a team does not participate in the championship tournament as anticipated, the student-athletes

will take their final examinations as scheduled. The chair of the Faculty Board on Athletics or the Director of the Office Academic Services for Student-Athletes will inform the deans (or deans' designees) of changes in the dates of conflict or potential conflict.

- (2) The dean (or dean's designee) will send the following to each faculty member in his/her college who teaches a course for which there is a conflict or potential conflict: a copy of the letter from the board along with the *Procedure for the Resolution of Conflicts Between Final Examinations and Post-Season Championship Tournaments*. This letter is to be sent at least one week in advance of the date of the final examination in question.
- (3) A student-athlete on a participating team will meet individually with each faculty member teaching a course for which there is a conflict or a potential conflict. At this meeting the student-athlete will explain the reasons for his/her absence from the final examination. On or before this occasion, the student-athlete will present a letter from the Office of Academic Services for Student-Athletes which confirms that he/she is a member of the participating team and provides the precise dates of the conflict or potential conflict. This meeting is to occur on or before the last day of class.

An absence from a final examination for which one or more of these three provisions are not met will be considered an unexcused absence from a final examination as described in the Undergraduate Academic Code of the University. If the three conditions are met, the faculty member in charge of the course has the following options:

- A) Arrange with each student-athlete an alternative examination date and time to occur before the conclusion of final examination week.
- B) Assign an X grade* with the approval of the student-athlete's dean (or the dean's designee). At this time the faculty member should inform the student-athlete of the date by which the final examination must be completed, and make suitable parallel arrangements for the administration of the final examination.†

Special note: Periodically, individual student-athletes qualify or seek to qualify for national teams whose competitions may conflict with the Notre Dame final exam schedule. When this occurs, the chair of the Faculty Board on Athletics polls the board for its views on the merits of asking for special consideration for the student-athlete. In presenting a request for special consideration, the chair will consult with the Director of the Office of Academic Services for Student-Athletes, who in turn will consult with the student-athlete's dean (or the dean's designee). Among the factors the

* The faculty member needs to contact the student-athlete's dean (or the dean's designee) to receive authorization for an X grade. According to the Undergraduate Academic Code, an X grade reverts to an F if not otherwise resolved within 30 days after the beginning of the next semester.

† The faculty member would submit an Academic Grade Change Form to the student-athlete's dean (or the dean's designee) at a later time.

board will consider are (1) the student-athlete's academic performance to date and any academic risks the student-athlete's participation in the event presents; and (2) the assessment of the student-athlete's Notre Dame head coach of the importance of the competition or qualifying event for the student-athlete's personal and athletic development. If the board agrees to seek special consideration, the chair writes to the student-athlete's dean (or the dean's designee), referencing the procedures for resolving exam conflicts as a possible model. However, in asking that consideration be given for this opportunity, the board acknowledges that the final authority belongs to the dean (or the dean's designee).

II. STUDENT ELIGIBILITY, STUDENT LIFE, AND RECOGNITION

Petition for Use of Athletics Eligibility While Enrolled in a Fifth Year of Undergraduate Coursework or as a Graduate Student^{*} (amended October 2018)

The Department of Athletics seeks to support Notre Dame's mission to educate and develop the young men and women enrolled as students at the University. The establishment and maintenance of athletics programs that both serve as models of excellence and support the high academic aspirations of the University stand central to its educational objectives.

In pursuit of these goals, the University expects student-athletes to complete their academic programs within the usual time (normally four years), including summer classes when appropriate. The NCAA permits a student-athlete four years of athletics eligibility within the five-year period beginning on the date of enrollment. The Faculty Board on Athletics exercises the responsibility for determining whether any student-athlete who initially matriculated at Notre Dame or who transferred into Notre Dame may participate in athletics at Notre Dame while enrolled in a fifth year of undergraduate coursework or as a graduate student.

In order for the Faculty Board on Athletics to consider a student-athlete's petition for use of athletics eligibility while enrolled in a fifth year of undergraduate coursework or as a graduate student, the following must occur:

1. The student-athlete must complete the form prescribed for a petition to participate in athletics during a fifth year of undergraduate coursework or as a graduate student.
 - a. Absent extraordinary circumstances, a Notre Dame student-athlete must complete this form no later than March 31 or, for a student-athlete in a

^{*} These provisions apply to students completing eight full-time academic semesters or the equivalent, counting both those semesters completed at Notre Dame and those semesters completed as an undergraduate enrolled at another college or university. An academic semester is defined as either a fall or a spring semester (15 weeks or the equivalent), not including summer school. Full-time is defined as being enrolled in at least 12 credit hours for undergraduate students or 9 credit hours for graduate students, except for the final undergraduate semester, in which the student-athlete may be enrolled in less than a minimum full-time program of studies, provided the student is carrying the courses necessary to complete the baccalaureate requirements.

spring sport, May 1 of the student-athlete's senior year at Notre Dame. Coaches making such petitions must ordinarily expect to provide fifth-year scholarship funding for that student-athlete at least at the level during the missed year of competition.

- b. Absent extraordinary circumstances, a graduate of another college or university who seeks to exhaust his or her athletics eligibility at Notre Dame must complete this form at least one week prior to receipt of room and board expenses at Notre Dame, and in any event no later than July 15 prior to the student's enrollment at Notre Dame.
2. The student-athlete's head coach and the director of athletics support the petition; and
3. The compliance office confirms that the student-athlete has eligibility remaining.

Should the above provisions be met, the petition follows one of two tracks depending on whether the student-athlete is petitioning to use his or her remaining eligibility (i) while enrolled in a fifth year of undergraduate coursework or as a degree-seeking graduate student (see "Declaration of Use of Athletics Eligibility"), or (ii) as an unclassified graduate student (see "Application for Use of Athletics Eligibility").

Declaration of Use of Athletics Eligibility While Enrolled in a Fifth Year of Undergraduate Coursework or as a Degree-Seeking Graduate Student

Once a petition for use of athletics eligibility while enrolled in a fifth year of undergraduate coursework or as a degree-seeking graduate student has met the provisions above, the student-athlete must engage in appropriate consultation with the director of academic services for student-athletes or his or her designee, and the appropriate advisor within the degree program, to develop a suitable program of study for the subsequent semester or year. After such consultation, the student-athlete may declare his or her intent to use his or her remaining eligibility while enrolled in a fifth year of undergraduate coursework or as a degree-seeking graduate student.

The director of academic services for student-athletes provides an annual report of these declarations to the Faculty Board on Athletics.

Application for Use of Athletics Eligibility While Enrolled as an Unclassified Graduate Student

Once a petition for use of athletics eligibility while enrolled as an unclassified graduate student has met the provisions above, the student-athlete must file an application for use of athletics eligibility as an unclassified graduate, as follows:

1. The student-athlete must meet with the appropriate faculty member or academic advisor to establish a suitable program of study for the subsequent semester or year. The student's plan should provide the rationale for the set of courses selected. The plan could spell out, for example, how the courses fit a student's career interests, prepare the student for future graduate-level study, complement the student's

undergraduate major, or otherwise satisfy some particular educational objective. Unclassified graduate students must enroll in at least nine credit hours graded on an A to F scale per semester, and the student's schedule must be in conformity with the Graduate School's Academic Code. Absent extraordinary circumstances, a student-athlete may use no more than three credits of directed-readings, special-studies, or independent-study courses to meet the minima set out in this section, and any such courses must be fully described in the academic plan and approved by the relevant department chair or director of undergraduate studies; and

2. The student-athlete must submit the academic plan to the director of academic services for student-athletes for approval. As used here, "approved" means a determination that the student is eligible for and qualified to take the courses indicated in the plan.

Once the director of academic services for student-athletes approves the application, the application is submitted to the Faculty Board on Athletics for its review. The Subcommittee on Academic Integrity is authorized by the Faculty Board on Athletics to approve routine applications with the proviso that all board members will be notified of subcommittee meetings so that they are able to give their input on the applications. In reviewing applications for use of athletics eligibility while enrolled as an unclassified graduate student, the Faculty Board on Athletics may consider:

1. the extent to which approval of the application would serve the best interests of the student-athlete and the institution;
2. the student-athlete's disciplinary history, including the Faculty Board on Athletics' consultation with the Office of Student Affairs or the Graduate School; and
3. any other information the Faculty Board on Athletics deems relevant.

Additional Condition Attached to any Application for Use of Athletics Eligibility while Enrolled as an Unclassified Graduate Student

A student-athlete enrolled as an unclassified graduate student must finish the semester with a 2.0 semester grade-point-average or pass nine credits to be eligible for participation in post-season competition that occurs between terms.

Off-Campus Residence* (amended May 2015)

Prior to 1997, grant-in-aid student-athletes were required to reside on campus throughout their undergraduate careers. From 1997 to the present, grant-in-aid student-athletes could petition in their

* Beginning with the class of students enrolling in fall 2018, the University of Notre Dame's policy requires all undergraduate students to reside on campus through six semesters. Consequently, the Faculty Board on Athletics' policy

junior year for the right to move off campus senior year, provided they met several academic and disciplinary criteria. Based on its review of current policy, the Faculty Board on Athletics wishes to both ensure the continued participation of grant-in-aid student-athletes in the rich traditions of residential life at Notre Dame and give senior grant-in-aid student-athletes greater freedom in choosing whether to live on or off campus. Currently, approximately 56% of all Notre Dame seniors reside off campus, and not surprisingly, senior grant-in-aid student-athletes also express interest in moving off campus. Their reasons for wishing to live off campus mirror those of other seniors. Some seek more space and privacy than dorm living offers, others want to live with or near their friends, and still others hope to build a bridge between their lives as undergraduates and their post-graduate identities.

In light of these considerations, the housing policy for grant-in-aid student-athletes at Notre Dame is as follows:

1. All grant-in-aid student-athletes must live on campus for a minimum of the first six (6) semesters of their undergraduate studies. Summer sessions do not contribute to the six semester minimum. Furthermore, student-athletes attending summer sessions are expected to live on campus prior to the sixth semester. Married grant-in-aid student-athletes are exempted from this policy.

2. The Faculty Board on Athletics may waive the six-semester minimum in the following circumstances:

(a) Documented medical condition. A grant-in-aid student-athlete with a physical or mental condition that is affected by living on-campus may submit to the Chair of the Faculty Board on Athletics a request to move off-campus. Such request must be accompanied by appropriate medical documentation.

(b) Early enrollment. A grant-in-aid student-athlete who enrolls in January may submit to the Chair of the Faculty Board on Athletics a request to move off-campus following the first five (5) semesters of his or her undergraduate studies. Such request must demonstrate appropriate progress toward degree.

(c) Other circumstances the Faculty Board on Athletics deems appropriate, consistent with the best interests of the University and the individual student-athlete.

3. During the year prior to becoming eligible to live off-campus, grant-in-aid student-athletes who are not on disciplinary probation with the Office of Community Standards may apply through the Compliance Office in the Department of Athletics for permission to live off campus.

4. Prior to granting permission, the Assistant or Associate Director of Athletics responsible for Compliance will take the following steps:

on off-campus residence will sunset at the conclusion of the 2019-20 academic year. Until that time, the policy, as detailed below, and appeal procedures, as detailed in part III, control.

(a) Consult with the Office of Community Standards to verify that the grant-in-aid student-athlete is not on disciplinary probation; and

(b) Seek approval from the head coach of each applicant's team. The head coach will consider the student's behavioral history as a member of the team in deciding whether or not to approve the student's request.

5. The Assistant or Associate Director of Athletics responsible for Compliance issues decision letters to those grant-in-aid student-athletes who have requested permission to live off campus. If the decision is negative, the letter should include information about the appeals process (described in part III).

6. The Assistant or Associate Director of Athletics responsible for Compliance will monitor grant-in-aid student-athletes' compliance with this policy, working closely with the Office of Community Standards and sport administrators. Upon receipt of information that a grant-in-aid student-athlete is living off campus in violation of this policy, the Assistant or Associate Director of Athletics responsible for Compliance will issue a written warning to the student-athlete (with a copy to the sport administrator and head coach), giving him/her seven (7) days to correct the situation.

7. If the student-athlete continues to live off campus after the seven (7) days have elapsed, the Assistant or Associate Director of Athletics responsible for Compliance will schedule a meeting with the grant-in-aid student-athlete and his/her sport administrator and/or head coach. Those found in violation of the housing policy will face team discipline, including but not limited to, possible loss of playing time. Violators may also face loss of their grant-in-aid.

8. The Assistant or Associate Director of Athletics responsible for Compliance is responsible for providing grant-in-aid student-athletes with written explanations of any sanctions imposed upon them for moving off campus without permission. Information about the appeals process (described in part III) should be included with the written explanation.

Notre Dame Athletics Transfer-Related Policies

(amended April 2019)

A student-athlete who provides written notification of transfer while a member of an intercollegiate athletic team generally will continue to have access to the following services: Academic Services for Student-Athletes; Sports Medicine, which includes the sports psychologists embedded within Athletics; and Student Welfare and Development programming. The expectation of retaining access to sports medicine services is intended to allow care for an injury/medical condition sustained while participating as a member of a Notre Dame varsity athletics program. The foregoing services can be denied by the sport administrator, in consultation with the head coach and the Faculty Athletics Representative (FAR) or his or her designee, in extraordinary circumstances such as the presence of a "no contact" order, previous dismissal or suspension from the team, or abuse of the privilege to utilize such services. Access to additional services, such as nutrition, training table and strength and conditioning, will be determined by the sport administrator, in consultation with the head coach and the FAR or his or her designee.

Continued access to the services listed above may be evaluated by the sport administrator, in consultation with the head coach and the FAR or his or her designee, at any point while the student-athlete remains in the NCAA Transfer Database. If a student-athlete is denied access to any of the services listed above or if a student-athlete is eligible for a one-time transfer exception and the student-athlete's request for a one-time transfer exception is denied by the Director of Athletics, the student-athlete is informed, in writing, that he or she, upon written request to the FAR, has an opportunity for a hearing. Information about the appeals process is described in part III.

Team Captains

(amended November 2012)

Throughout the year, slates of candidates for team captaincies come before the board for approval. Team captains must demonstrate high standards of conduct and strong leadership. Candidates for team captaincies must be in good academic standing. The board has authorized its chair to approve on its behalf any nomination falling clearly within board guidelines. In the event the board disapproves a candidate, it then determines if the position should remain vacant, be occupied temporarily by the coach's choice(s), or be filled through another election.

The Byron V. Kanaley Award

(amended May 2009)

Byron V. Kanaley, a 1904 graduate of the University, epitomized the type of student this award, which he founded, seeks to honor. As a student at Notre Dame, he played varsity baseball, served on the debating team and became president of his class. He went on to great success in Chicago, excelling in both real estate and finance. He never lost his affection for Notre Dame, however, which he served as a member, and later as president, of its lay board of trustees, and as president of its alumni association.

Each spring, remembering this outstanding alumnus, the Faculty Board on Athletics bestows the Byron V. Kanaley Award on senior monogram winners* deemed most exemplary as student-athletes and as leaders. In making its selections, the board invites each head coach to submit a letter of recommendation for that member of the team who best qualifies for the award. Multiple awards may be made in one year, and the board generally works toward consensus on all winners. Typically, the board considers the quality of the nominees' academic and athletic achievements, as well as their demonstrated leadership. NCAA regulations restrict the nature and value of award mementos.

The Academic Honors Program for Student-Athletes

This program identifies student-athletes of exceptional academic achievement and promise, pairs them with faculty mentors in the student-athletes' current or intended disciplines, and offers

* For purposes of the Kanaley Award, the Faculty Board on Athletics treats as "seniors" both those student-athletes who are completing an undergraduate degree, whether or not they have athletic eligibility remaining, and those student-athletes who are enrolled as graduate students while exhausting remaining athletic eligibility.

assistance in preparing these student-athletes to apply for a wide range of awards, grants, and postgraduate scholarships. A number of board members serve as faculty mentors to these gifted student-athletes.

III. APPEAL PROCEDURES

Grant-in-Aid Student-Athlete Housing Policy Appeal Procedures (amended April 2008)

In those instances where a grant-in-aid student-athlete's request for permission to live off-campus during the senior year is denied by the Assistant or Associate Director of Athletics responsible for Compliance, the grant-in-aid student-athlete may, within 10 days of receiving the written explanation for the decision, submit a written request for an appeal hearing to the Faculty Athletics Representative (FAR). The FAR is authorized by the Faculty Board on Athletics to review the written request and to grant an appeal hearing only if the student-athlete possesses substantive new information that was not available to the Assistant or Associate Director of Athletics responsible for Compliance at the time the decision was made and that, if known, would have resulted in a different outcome.

The FAR will provide the student-athlete with written notification of his/her decision regarding the request for an appeal hearing within a reasonable period of time, ordinarily not to exceed 10 days of receiving this request. If the FAR denies the request for an appeal hearing, the decision of the Assistant or Associate Director of Athletics responsible for Compliance is final.

If the FAR grants the request for an appeal hearing, this hearing is conducted within a reasonable period of time, ordinarily not to exceed thirty (30) days after the FAR receives the written request. The FAR appoints three members of the faculty as voting members of the hearing panel. The FAR designates one of these three members of the hearing panel as its chair. The chair of the hearing panel schedules the hearing.

At the hearing, the grant-in-aid student-athlete appealing the decision and representative(s) of the Department of Athletics, including the head coach of the grant-in-aid student-athlete's team, are given an opportunity to argue for or against the decision under appeal. The hearing shall be informal. No rules of evidence apply. Neither the grant-in-aid student-athlete nor the representative(s) of the Department of Athletics are permitted to proceed through legal counsel at the hearing. They may, however, seek the advice of legal counsel or be represented by legal counsel outside the hearing. At the hearing, both parties are afforded a reasonable opportunity for the presentation of relevant witnesses and pertinent documentary evidence. The hearing panel has the right to question any and all witnesses, to examine documentary evidence and to summon other witnesses as it deems necessary. No witness or party is present during the testimony of any other witness or party, except that the grant-in-aid student-athlete may choose to be present for any and all witness or party testimony and to respond to such testimony. The hearings are open only to the hearing panel and the parties or witnesses while they are testifying. The grant-in-aid student-athlete and representative(s) of the Department of Athletics provide to the hearing panel every document or other exhibit to be used at the hearing, and the names of any witnesses they intend to call, no later than seven (7) days prior to the hearing. The hearing panel promptly distributes to the other

party copies of all materials submitted by each party. The hearing panel may refuse to hear the testimony of any witnesses not so disclosed or to consider any exhibits not so disclosed.

After all the evidence and testimony are presented, the panel deliberates in closed session. Its deliberations are and remain confidential unless disclosure is required by law. The panel's role is to decide whether or not the grant-in-aid student-athlete housing policy has been applied to the grant-in-aid student-athlete's case in a reasonable and fair manner. The hearing panel may accept, reject, or modify the decision of the Assistant or Associate Director of Athletics responsible for Compliance. It puts forward a single vote regarding the appeal, positive or negative. Within ten (10) days after the hearing concludes, the chair of the hearing panel transmits a written copy of its decision to the grant-in-aid student-athlete and the Assistant or Associate Director of Athletics responsible for Compliance. The decision of the hearing panel is final and may not be appealed.

Nothing in these policies and procedures prohibits or prevents the grant-in-aid student-athlete and the Department of Athletics from resolving their dispute by mutual agreement at any time. If such an agreement is reached, the grant-in-aid student-athlete or the Assistant or Associate Director of Athletics responsible for Compliance promptly so notifies the hearing panel, in writing, and the pending review is dismissed.

Transfer-Related Hearing Procedures

(amended April 2019)

If a student-athlete is denied access to any of the services listed in the *Notre Dame Athletics Transfer-Related Policies* or if a student-athlete is eligible for a one-time transfer exception and the student-athlete's request for a one-time transfer exception is denied by the Director of Athletics, the student-athlete is informed, in writing, that he or she, upon written request to the FAR, has an opportunity for a hearing. The written request for a hearing must be received by the FAR within ten (10) business days of the University's written denial of the student-athlete's request.

A hearing is conducted no later than twelve (12) business days after the FAR receives the written request for a hearing. The FAR appoints three non-*ex officio* members of the Faculty Board on Athletics as voting members of the hearing panel. The FAR designates one of these three voting members of the hearing panel as its chair. The chair of the hearing panel schedules the hearing. The sole non-voting member of the hearing panel is the Assistant or Associate Director of Athletics responsible for NCAA compliance, who serves as a resource concerning NCAA, conference, and Department of Athletics rules and regulations for the voting members of the hearing panel.

The student-athlete and representative(s) of the Department of Athletics provide to the chair of the hearing panel every document or other exhibit to be used at the hearing, and the names of any witnesses they intend to call, no later than three (3) business days prior to the hearing. The chair of the hearing panel promptly distributes to the other party copies of all materials submitted by each party. The hearing panel may refuse to hear the testimony of any witnesses not so disclosed or to consider any exhibits not so disclosed.

At the hearing, the student-athlete appealing the decision and representative(s) of the Department of Athletics are given an opportunity to argue for or against the decision under appeal. The hearing

shall be informal. No rules of evidence apply. Neither the student-athlete nor the representative(s) of the Department of Athletics are permitted to proceed through legal counsel at the hearing. They may, however, seek the advice of legal counsel or be represented by legal counsel outside the hearing. At the hearing, both parties are afforded a reasonable opportunity for the presentation of relevant witnesses and pertinent documentary evidence. The hearing panel has the right to question any and all witnesses, to examine documentary evidence and to summon other witnesses as it deems necessary. No witness or party is present during the testimony of any other witness or party. The hearings are open only to the hearing panel and the parties or witnesses while they are testifying.

After all the evidence and testimony are presented, the panel deliberates in closed session. Its deliberations are and remain confidential unless disclosure is required by law. The panel's role is to decide whether or not NCAA, conference, and University rules and regulations regarding the decision under appeal have been applied to the student-athlete's case in a reasonable and fair manner. The hearing panel may accept, reject or modify the decision of the Department of Athletics. It puts forward a single vote regarding the appeal, positive or negative. Within two (2) business days after the hearing concludes, the chair of the hearing panel transmits a written copy of its decision to the student-athlete and the Department of Athletics. The decision of the hearing panel is final and non-appealable.

When necessary to complete the appeal within the prescribed time limits, the FAR may, in consultation with the Assistant or Associate Director of Athletics responsible for NCAA compliance, adjust the procedures set forth above as necessary (and only as necessary) to complete the hearing without prejudice to the parties and in conformity with NCAA rules. The FAR shall report any such adjustment to the Faculty Board on Athletics.

Nothing in these policies and procedures prohibits or prevents the student-athlete and the Department of Athletics from resolving their dispute by mutual agreement at any time. If such an agreement is reached, the student-athlete or the Department of Athletics promptly so notifies the hearing panel, in writing, and the pending review is dismissed.

Athletics-Related Financial-Aid Hearing Procedures (amended February 2015)

Introduction

Notre Dame has traditionally respected and honored the impressive service and commitment of the student-athlete to the good of the broader university community. Consequently, Notre Dame has sought to protect the security and well-being of its student-athletes, including in matters pertaining to athletics-related financial aid. A student-athlete's athletic ability, performance, or contribution to the team; injury, illness, or physical or mental condition; or any other athletics reason is not an appropriate ground for the reduction, cancellation, or non-renewal of athletics-related financial aid.

Reduction, Cancellation, and Non-Renewal of Athletics Aid

An NCAA Division I institution may offer a student-athlete either a one-year or a multi-year athletics grant-in-aid agreement. At Notre Dame, it is within the coach's discretion to elect which form of agreement to offer a student-athlete. The bases for reduction, cancellation, or non-renewal of athletics-related financial aid are limited, and differ slightly depending on whether the student-athlete has a one-year grant-in-aid agreement or a multi-year grant-in-aid agreement. The bases for reduction, cancellation, and non-renewal are specified in the grant-in-aid agreements. Any expansion of the grounds for reduction, cancellation, or non-renewal of athletics-related financial aid in the grant-in-aid agreements is subject to review by the Faculty Athletics Representative (FAR) and, at his or her discretion, by the Faculty Board on Athletics.

The administration of the Department of Athletics has treated seriously those rare cases in which reductions, cancellations, or non-renewals of athletics-related financial aid have been proposed; such cases involve student-welfare issues that warrant careful deliberation, complete fairness, and appreciation of the decision's crucial effects on the student-athlete's ability and desire to remain and flourish at Notre Dame.

Right to a Hearing and Hearing Procedures

A student-athlete whose athletics-related financial aid is reduced, cancelled, or not renewed is informed in writing by the Director of Financial Aid that he or she, upon written request to the Faculty Athletics Representative (FAR), has an opportunity for a hearing. The written request for a hearing must be received by the FAR within fifteen (15) days of the University's written notification of the reduction, cancellation, or non-renewal of the student-athlete's athletics-related financial aid. Upon request for an appeals hearing, the FAR shall notify the student-athlete of his or her right to an Appeal Advisor.

On occasion, a student-athlete who does not have an athletics grant-in-aid agreement credibly alleges that he or she failed to receive athletics aid promised by a coach or other member of the Department of Athletics. Such a student-athlete is also entitled to a hearing under this Policy. A student-athlete who alleges that he/she failed to receive athletics aid promised by a coach or other member of the Department of Athletics must submit a written request for a hearing to the FAR within fifteen (15) days of becoming aware that he/she is not receiving the allegedly promised athletics aid. The FAR may accept an appeal request outside of the fifteen-day window if fairness to the student-athlete so requires. The FAR shall promptly determine whether the student-athlete's allegation is credible and, if so, shall notify the student-athlete of his or her opportunity for a hearing and right to an Appeal Advisor.

If the student-athlete notifies the FAR that he or she would like to utilize an Appeal Advisor, the FAR will choose one from a standing pool of potential Appeal Advisors. The pool will consist of the current student representative on the Faculty Board on Athletics and past members of the Faculty Board on Athletics. The Appeal Advisor must contact the student-athlete within five (5) days of being assigned.

A hearing is conducted no later than thirty (30) days after the FAR receives the written request for a hearing. The FAR appoints two non-ex officio faculty members of the Faculty Board on Athletics and a graduate student as voting members of the hearing panel. An Associate Vice President for

Student Affairs or his/her designee also serves as a voting member of the hearing panel. The Director of Financial Aid or his/her designee serves both as a voting member and as the chair of the hearing panel. The hearing panel shall have access to the Assistant or Associate Director of Athletics responsible for NCAA compliance, as a technical resource concerning NCAA, conference, and Department of Athletics rules and regulations for the voting members of the hearing panel, but this individual does not attend the hearing and is not a member of the hearing panel.

No later than seven (7) days prior to the hearing, the student-athlete and representative(s) of the Department of Athletics must provide to the chair of the hearing panel every document or other exhibit they plan to use at the hearing, and the names of any witnesses they intend to call and a description of the relevancy of such witnesses to the hearing. The hearing panel may refuse to hear the testimony of any witnesses not so disclosed or to consider any exhibits not so disclosed. The chair of the hearing panel promptly distributes to the other party copies of all materials submitted by each party.

At the hearing, the student-athlete appealing the decision and representative(s) of the Department of Athletics are given an opportunity to argue for or against the decision under appeal. The hearing is informal. No rules of evidence apply. Neither the student-athlete nor the representative(s) of the Department of Athletics may proceed through legal counsel at the hearing. They may, however, seek the advice of legal counsel or be represented by legal counsel outside the hearing. At the hearing, both parties are afforded a reasonable opportunity to present pertinent documentary evidence and to outline the relevance of planned witness testimony (live or written). The hearing panel may question any and all witnesses, examine documentary evidence, and summon other witnesses as it deems appropriate. The student-athlete may choose to be present for and respond to any and all witness or party testimony. If requested by the student-athlete, the Appeal Advisor may attend the hearing. The Appeal Advisor is generally available only as a resource for the student-athlete and not as a participant in questioning or testimony; in his/her discretion and at the student-athlete's request, the Chair may allow the Appeal Advisor to participate to clarify or supplement the student-athlete's presentation. Members of the Department of Athletics who were responsible for the decision under appeal may choose to appear together. Otherwise, no witness or party is present during the testimony of any other witness or party, and the hearings are open only to the hearing panel and to the parties or witnesses while they testify.

After the presentation of all the evidence and testimony, the members of the hearing panel deliberate in closed session. The hearing panel's deliberations remain confidential unless disclosure is required by law. The hearing panel decides whether the decision under appeal was reasonable and fair, and therefore not arbitrary. The hearing panel may accept, reject, or modify the decision of the Department of Athletics. It puts forward a single vote, positive or negative, regarding the appeal.

Within ten (10) days after the hearing, the chair of the hearing panel transmits a written copy of its decision to the student-athlete and to the Department of Athletics. The decision of the hearing panel is final and may not be appealed. In a case in which the FAR and the chair of the hearing panel determine that fairness requires expediting an appeal, the FAR, the chair of the hearing panel, and the Assistant or Associate Director of Athletics responsible for NCAA compliance may agree to adjust the procedures set forth above as necessary (and only as necessary) to complete the hearing without prejudice to the parties and in conformity with NCAA rules.

Nothing in these policies and procedures prohibits or prevents the student-athlete and the Department of Athletics from resolving their dispute by mutual agreement at any time. If such an agreement is reached, the student-athlete or the Department of Athletics promptly notifies the hearing panel, in writing, and the pending review is dismissed.

A student-athlete who unsuccessfully appeals the reduction, cancellation, or non-renewal of athletics-related financial aid is encouraged to contact the Office of Financial Aid for assistance. The Office of Financial Aid shall use standards applicable to all students in determining the student-athlete's eligibility for federal, institutional, or other financial aid.

IV. OTHER

Contract Extensions of Director of Athletics, Senior Athletics Administrators, and Head Coaches

The University's *Statement of Principles for Intercollegiate Athletics* specifies that the NCAA faculty athletics representative, who also chairs the Faculty Board on Athletics, "serves on search committees for senior athletics administrators and head coaches." Reflecting the spirit of this provision, the Faculty Board on Athletics, at its January 28, 2003, meeting, expressed its sense that the chair of the board should be consulted by the president concerning any proposed contract extension for the director of athletics, and by the director of athletics concerning any proposed contract extension for other senior athletics administrators and head coaches. (The consultation contemplated by the board in no way implies that such contract extensions require the chair's approval). In subsequent meetings with the chair of the board, both the president and the director of athletics agreed to so consult.

Drug Testing Oversight Committee

The chair of the Faculty Board on Athletics appoints one member from among the other appointed or elected tenured and tenure-track faculty members on the board to serve as the board's assigned representative to the University's Drug Testing Oversight Committee.

INTERNAL BOARD POLICIES AND PRACTICES

Attendance at Meetings

Any board member unable to attend a scheduled meeting should notify the chair. The Faculty Board on Athletics' recorder will attend all meetings. In order that each constituency is effectively represented on the Faculty Board on Athletics, members who are not discharging their duties properly or have excessive absences without reasons approved by the chair will forfeit their membership. The chair is authorized to recommend to the entire board that a member be replaced in such instances.

Agenda

The chair prepares the agenda for board meetings and distributes it to members prior to the meeting. Any member of the faculty or of the board may ask that a particular item be added to the agenda. Such a request should be communicated to the chair at least one week before the scheduled meeting.

Minutes

From the notes created by the recorder, the chair drafts the minutes of the board's meetings. Once approved by the board, these minutes become the official minutes. The chair then posts the minutes on the board's website for the benefit of the University community.

Listserv and Conference Calls

Votes may be taken between meetings through the board listserv or through conference calls. Membership of the listserv is limited to voting and non-voting members of the board and to the regularly observing associate athletics directors. The chair of the board serves as list administrator. The listserv operates as a non-archived (except by the chair) and unedited list. In seeking board action through the listserv, the chair sets a firm voting deadline, one that allows at least two business days for discussion and voting. Board members must vote through a message to the listserv containing the word "vote" in the subject line. Any vote may be changed before the deadline. Voting is deferred to a meeting or conference call if at least two voting members so request through a message to the listserv containing the words "Request for discussion" in the subject line. At any time before the deadline the chair may defer a vote to a meeting or to a conference call. (*See* minutes of March 30, 2004, Appendix A.)

Confidentiality

The board routinely discusses a wide range of topics—some confidential, some not. Certain matters, such as the academic or disciplinary records of identifiable individual student-athletes, demand confidentiality on the part of all board members. Other matters, such as discussions about policy, often present board members with the opportunity to poll their faculty constituencies for advice. All confidential written materials in the possession of board members should be kept in a secure location or left at a board meeting to be destroyed by the chair.

Reports to the Board

At regular intervals, the board hears reports or updates, including from the following:

Director of Athletics: the director of athletics, directly or through associates, reviews with the board proposed NCAA legislation and keeps the board informed regarding significant NCAA and conference issues, including changes in policy, investigations, and violations. Annually, the director of athletics presents a report on the number of student-athletes residing off-campus. As the fall semester progresses, the director of athletics informs the board of the University's position in the bowl championship series, possible bowl invitations and prospective opponents.

Vice President for Student Affairs: The vice president for student affairs informs the board regarding disciplinary issues generally and, annually, reports on the conduct of student-athletes living off-campus. Because of the confidential nature of this information, the identities of individual students are never revealed in such discussions.

Director of Academic Services for Student-Athletes: Annually, the director of academic services for student-athletes provides to the board an academic profile of the student-athlete population, including with regard to size, ethnic and gender diversity, levels, colleges and majors, and, for each varsity team, SAT/ACT scores and grade-point averages. Each semester the director of academic services for student-athletes presents to the board a summary of the academic performance of student-athletes. This report includes grade-point averages for each team and the number of student-athletes on the dean's list, on probation, or dismissed. Annually the director of academic services for student-athletes reports summarily on the academic performance of fifth-year student-athletes (including grade-point averages and courses taken) and of student-athletes residing off-campus.

Rounding

The minimum grade-point averages required for maintenance of fifth-year eligibility must be achieved without the benefit of "rounding". (See board minutes for the meeting of March 28, 2003.)

Website

To better inform the campus community, the Faculty Board on Athletics maintains a website on which can be found a list of board members, minutes of board meetings, and other matters related to the activities of the board. The website's address is <https://fba.nd.edu>.

Team Liaisons

To facilitate communication and exchange between the coaches, student-athletes and the board, each faculty and student board member is responsible to serve as a liaison to a given number (typically 2-3) of athletics teams. Through informal meetings with the coaching staff and teams as well as attendance at practices and games, board members look to gain a better understanding of the unique responsibilities, demands, and interests of student-athletes and coaches at the University.

Banquets and Bowl Games

In the spring semester, board members are invited to the annual Outstanding Student-Athletes Celebrating Achievements and Recognition Showcase (O.S.C.A.R.S.), which honors student-athletes for their accomplishments on the field and in the classroom. Board members are also invited to a number of sports banquets held throughout the year. In some years, the president invites board members to attend a football bowl game as his guests.

Meeting with New Head Coaches

To promote understanding and cooperation between the athletics program and the board, the chair of the board invites new head coaches, shortly after their appointment, to meet with board members. At these meetings, head coaches have the opportunity to discuss their views on the academic, student-welfare, and other aspects of athletics at Notre Dame.

FACULTY BOARD ON ATHLETICS (2019-2020)

| | Name | Position | Address | Phone | E-mail Address | Term of Office |
|-------------------|-----------------------|--|---------------------------------|------------------------------|-----------------------|--------------------------------|
| | PATRICIA L. BELLIA | Chair | 3157 Eck Hall of Law | 1-3866 276-2075 (cell) | pbellia@nd.edu | 8/18–8/21 |
| <i>Ex Officio</i> | ERIN HOFFMANN HARDING | Vice President for Student Affairs | 316 Main Building | 1-7394 | eharding@nd.edu | (ex officio) |
| | JOHN B. SWARBRICK | Director of Athletics | C113 Joyce Center | 1-7546 | swarbrick.1@nd.edu | (ex officio) |
| | ANN FIRTH | Chief of Staff, Office of the President | 400 Main Building | 1-9165 | firth.2@nd.edu | (ex officio) |
| | PATRICK G. HOLMES | Director, Academic Services for Student-Athletes | 109 Coleman-Morse | 1-9789 | holmes.19@nd.edu | (ex officio) |
| <i>Elected</i> | F. CLARK POWER | Elected - AL | 215 O'Shaughnessy Hall | 1-7343 | power.1@nd.edu | 8/17–8/20 (1st 3-yr term) |
| | COREY ANGST | Elected - BA | 348 Mendoza College of Business | 1-4772 | cangst@nd.edu | 8/17–8/20 (1st 3-yr term) |
| | AARON STRIEGEL | Elected - EG | 211B Cushing | 1-6896 | striegel@nd.edu | 8/18–8/21 (1st 3-yr term) |
| | KEVIN T. VAUGHAN | Elected - SC | 57 Galvin Life Science Center | 1-3733 | vaughan.4@nd.edu | 8/17–8/20 (1st 3-yr term) |
| | RANDY KOZEL | Elected - Law | 2118 Eck Hall of Law | 1-2727 | rkozel@nd.edu | 8/19–8/22 (1st 3-yr term) |
| | SUSAN OHMER | Elected at-large | 230 DPAC | 1-1626 | sohmer@nd.edu | 8/19–8/22 (2nd 3-yr term) |
| | RICHARD PIERCE | Elected at-large | 456 Decio | 1-7191 | rpierce@nd.edu | 8/19-8/22 (1st 3-year term) |
| <i>Appointed</i> | SEAN KELSEY | Appointed | 201/103 Malloy | 1-7249 | skelsey@nd.edu | 8/19–8/20 (partial term) |
| | JAIMIE BLECK | Appointed | 2018 Jenkins and Nanovic Halls | 1-5069 | jbleck@nd.edu | 8/17–8/20 (1st 3-yr term) |
| | LAUREN HANNA | Appointed (Student Representative) | Law School Student Mailbox | 774-573-2818 | lhanna@nd.edu | 8/19–8/20 (1st 1-yr term) |